

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re DIVISIONAL application of)
Hisashi OHTANI et al.)
Based on Serial No. 10/214,693) Group Art Unit: 2826
Filed: August 9, 2002) Examiner: Tan Tran
For: SEMICONDUCTOR DEVICE AND)
FABRICATING METHOD THEREOF)

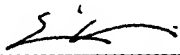
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing references known to Applicant and requests that these references be made of record in the above identified application. Copies of the references listed are submitted herewith in accordance with 37 C.F.R. 1.98(a) or were cited in parent application Serial No. 10/214,693 and copies of the references can be found in that application.

Respectfully submitted,



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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	Based on S.N. 10/214,693
				Filing Date	August 9, 2002
				First Named Inventor	HISASHI OHTANI et al.
				Group Art Unit	2826
				Examiner Name	Tan Tran
Sheet	1	of	3	Attorney Docket Number	0756-7198

U.S. PATENT DOCUMENTS						
Examiner Initials ⁴	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		5,843,225		Takayama et al.	12/01/98	
		5,915,174		Yamazaki et al.	06/22/99	
		5,961,743		Yamazaki et al.	10/05/99	
		5,977,559		Zhang et al.	11/02/99	
		6,072,193		Ohnuma et al.	06/06/00	
		6,066,518		Yamazaki	05/23/00	
		5,403,772		Zhang et al.	04/04/95	
		5,426,064		Zhang et al.	06/20/95	
		5,481,121		Zhang et al.	01/02/96	
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		5,492,843		Adachi et al.	02/20/96	
		5,501,989		Takayama et al.	03/26/96	
		5,508,533		Takemura	04/16/96	
		5,529,937		Zhang et al.	06/25/96	
		5,534,716		Takemura	07/09/96	
		5,543,352		Ohtani et al.	08/06/96	
		5,563,426		Zhang et al.	10/08/96	
		5,569,610		Zhang et al.	10/29/96	
		5,569,936		Zhang et al.	10/29/96	
		5,580,792		Zhang et al.	12/03/96	
		5,585,291		Ohtani et al.	12/17/96	
		5,589,694		Takayama et al.	12/31/96	
		5,595,923		Zhang et al.	01/21/97	
		5,595,944		Zhang et al.	01/21/97	
		5,604,360		Zhang et al.	02/18/97	
		5,605,846		Ohtani et al.	02/25/97	
		5,606,179		Yamazaki et al.	02/25/97	
		5,608,232		Yamazaki et al.	03/04/97	
		5,612,250		Ohtani et al.	03/18/97	
		5,614,426		Funada et al.	03/25/97	
		5,614,733		Zhang et al.	03/25/97	
		5,616,506		Takemura	04/01/97	
		5,620,910		Teramoto	04/15/97	

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Sheet	2	3	Attorney Docket Number	0756-7198

U.S. PATENT DOCUMENTS					
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		Number	Kind Code ² (if known)		
		5,621,224		Yamazaki et al.	04/15/97
		5,624,851		Takayama et al.	04/29/97
		5,637,515		Takemura	06/10/97
		5,639,698		Yamazaki et al.	06/17/97
		5,643,826		Ohtani et al.	07/01/97
		5,646,424		Zhang et al.	07/08/97
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		5,656,825		Kasumoto et al.	08/12/97
		5,663,077		Adachi et al.	09/02/97
		5,677,549		Takayama et al.	10/14/97
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		5,696,388		Funada et al.	12/09/97
		5,700,333		Yamazaki et al.	12/23/97
		5,705,829		Miyanaga et al.	01/06/98
		5,712,191		Nakajima et al.	01/27/98
		5,744,824		Kousai et al.	04/28/98
		6,310,363		Ohnuma et al.	10/30/01
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		6,355,509		Yamazaki	03/12/02
		6,316,789		Yamazaki et al.	11/13/01
		6,368,904		Yamazaki	04/09/02
		6,399,454		Yamazaki	06/04/02
		6,432,756		Ohtani et al.	08/13/02
		6,433,363		Yamazaki et al.	08/13/02
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		6,551,907		Ohtani	04/22/03
		6,413,805		Zhang et al.	07/02/02

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	† ²
		Wong et al., Characterization of the MIC/MILC Interface and Its Effects on the Performance of MILC Thin-Film Transistors, (IEEE), pp. 1061-1067, May 2000	

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		Number	Kind Code ² (if known)		
		6,133,075		Yamazaki et al.	10/17/00
		6,121,660		Yamazaki et al.	09/19/00
		6,087,679		Yamazaki et al.	07/11/00
		6,084,247		Yamazaki et al.	07/04/00
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		6,063,654		Ohtani	05/16/00
		5,529,937		Zhang et al.	06/25/96
		5,897,347		Yamazaki et al.	04/27/99
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		5,869,363		Yamazaki et al.	02/09/99
		6,165,824		Takano et al.	12/26/00
		6,331,457		Yamazaki et al.	12/18/01
		6,475,840		Miyanaga et al.	11/05/02
		6,479,333		Takano et al.	11/12/02
		6,489,189		Yamazaki et al.	12/03/02
		6,156,590		Yamazaki et al.	12/05/00
		6,168,980		Yamazaki et al.	01/02/01
		6,194,255		Hiroki et al.	02/27/01
		6,303,415		Yamazaki	10/16/01

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Lee et al., Fabrication of High Mobility p-channel poly-si TFTs by self aligned MILC, (IEEE), pp. 407-409, 1996	

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